

FLEX SYSTEMATIC TRANSFER PLAN



Please read the instructions carefully and strike off any sections that are not relevant or not applicable.

Note: All field marked with asterisk (*) to be mandatorily filled.

Date

Application No.:

1. DISTRIBUTOR INFORMATION

Broker Code/ ARN / RIA** / PMRN** Code	Sub Broker / Agent's ARN Code	Bank Branch Code	Internal Code for Sub - Agent / Employee	EUIN*	ISC Date Timestamp Reference No.

** ☐ By mentioning RIA / PMRN code, I/we authorize you to share with the Investment Adviser/ Portfolio Manager the details of my/our transactions in the scheme(s) of Bajaj Finserv Mutual Fund. (Please ☒ if applicable) *In case the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf. Commission "if any applicable" shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

2. EXISTING UNIT HOLDER INFORMATION

Investor Name Mr. Ms. M/s.

Folio No. PAN/PEKRN* Enclosed: ☐ KYC Compliance ☐ PAN/PERN Proof

3. FLEX SYSTEMATIC TRANSFER PLAN

From Scheme	To Scheme
Plan	Plan
Option: (Please ☒ any one) ☐ Growth ☐ IDCW Payout ☐ IDCW Reinvestment	Option: ☒ Growth
IDCW Frequency (In case of IDCW Option) (Please specify)	
Flex STP Amount (₹ in figures): (The transfer amount shall be determined by the formula in instruction 5 from the 2nd installment onwards)	No. of Installments :
Flex STP Frequency ☐ Monthly ^{\$} ☐ Quarterly ^{\$} (If Frequency is not selected, Monthly will be the default frequency.)	
Flex STP Date* Flex STP Start Flex STP End (*Mention any date from 1st to 28th of the month. If no date is selected, 10th will be the default date for Monthly/Quarterly Options)	

4. DECLARATION AND SIGNATURE(S)

Having read and understood the content of the SID / SAI of the scheme, I/we hereby apply for units of the scheme. I have read and understood the terms, conditions, details, rules and regulations governing the scheme. I/we hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/we confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I/we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.) The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/ us. I/we confirm that I/we do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year (Applicable for Micro investment only.) with your fund house. For NRIs only - I / We confirm that I am/ we are Non Residents of Indian nationality/origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/ our Non Resident External / Non Resident Ordinary / FCNR account. I/we confirm that details provided by me/us are true and correct.

☐ I /we hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

SIGNATURE(S)

Sign of 1st Applicant / Guardian / Authorised Signatory / POA	Sign of 2nd Applicant / Authorised Signatory / POA	Sign of 3rd Applicant / Authorised Signatory / POA



ACKNOWLEDGMENT SLIP (To be filled in by the investor)

Folio No.

Application No.:

From

Scheme Plan

Amount Cheque No. Date

Signature, Stamp & Date

TERMS & CONDITIONS OF FLEX STP:

1. "Flex STP" is a facility wherein an investor under a designated open-ended scheme can opt to transfer variable amounts linked to the value of his investments on the date of transfer, at pre-determined intervals, transfer from designated open-ended (transferor scheme) to the growth option of another open-ended scheme (transferee scheme). This facility allows investors to take advantage of movements in the market by investing higher when the markets are low.
2. New Unit holders are required to fill up Common Application Form for investing in Transferor Scheme along with Flex STP Enrolment Form. Existing Unit holders in Transferor Scheme are required to provide their Folio No. and submit only Flex STP Enrolment Form.
3. A single Flex STP Enrolment Form can be filled for transfer into one scheme/Plan/Option only.
4. Flex STP is available only in "Growth" option of the target scheme. In case of valid enrolment forms received, indicating choice of option other than the growth option in the Transferee Scheme, it will be deemed as the growth option in the Transferee Scheme and processed accordingly.
5. Flex STP offer transfer facility at Monthly and Quarterly intervals. Unit holder is free to choose the frequency of such transactions.
 - a) STP Minimum Amount:
 - Monthly - Rs.1000/- per month & in multiples of Rs.1/- thereafter
 - Quarterly - Rs.1000/- per quarter & in multiples of Rs.1/- thereafter
 - b) STP Minimum instalment:
 - Monthly - 12 instalments; Quarterly - 12 instalments
6. The first Flex STP instalment will be processed for the fixed instalment amount specified by the unit holder at the time of enrolment. From the second Flex STP instalment onwards, the transfer amount shall be computed as per formula.
7. Under the Flex STP - Monthly / Quarterly Interval, unit holders will be eligible to transfer the amount as determined by the following formula:
 - a) Fixed STP amount
 - b) Amount calculated using the formula: (fixed amount to be transferred per instalment X number of instalments including the current instalment) - market value of the investments through Flex STP in the Transferee Scheme on the date of transfer Whichever is higher! However, STP amount cannot be more than two times of fixed instalment amount.
8. The instalment value of FLEX STP is determined based on NAV on the instalment date (T- day). If T - day falls on a non-working day or falls during a book closure period, then valuation will be done based on last NAV. For Ex: investor opted Flex STP cycle as 15th. NAV as per process is considered as of 15th (T- day). If 15th is falling on non-working day, we shall consider NAV as per next Working day NAV i.e., if 15th is a Sunday, we shall consider NAV of next Working day i.e. Monday.
9. If investor do not mention appropriate Flex STP date, 10th will be considered as default date. Investors need to select any date between 1 to 28.
10. At the time of Flex STP enrolment, the minimum unit holder's account balance or minimum amount of application in the Transferor Scheme must be Rs. 12,000/-.
11. In case the amount (as specified by the formula) to be transferred under Flex STP is not available in the Transferor Scheme in the unit holder's account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be ceased.
12. Once the Flex STP have been stopped the unit holder needs to provide a new request to start Flex STP.
13. The total Flex STP instalment amount invested in the Transferee Scheme shall not exceed the total enrolment amount specified by the unit holder at the time of enrolment i.e. amount per instalment X number of instalments.
14. If the NAV falls continuously throughout the Flex STP period, no. of instalments would be less than those mentioned on application form.
15. The redemption/ switch-out of units allotted in the Transferee Scheme shall be processed on First in First out (FIFO) basis. If there are other financial transaction (purchase, redemption or switch) processed in the target/transferee scheme during the tenure of Flex STP, the Flex STP will be rejected, and future will be suspended. Flex STP will be automatically terminated if all the units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.
16. Flex STP option is not allowed for Investment into Bajaj Finserv ELSS Tax Saver Fund.
17. If an investor does not mention Flex STP start date, or the Flex STP start date is unclear on the Flex STP Application form, by default Flex STP would start from the next subsequent cycle after meeting the minimum registration requirement of 5 Working days as per the defined frequency by the investor. If an investor does not mention Flex STP end date or the Flex STP end date is not clear, application is liable for rejection.
18. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
19. Unit holders can discontinue Flex STP facility by providing a written at least 5 Working days.
20. Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the respective Transferor & Transferee Scheme(s) carefully before investing.