PENALTIES, PENDING LITIGATION OR PROCEEDINGS, FINDINGS OF INSPECTIONS OR INVESTIGATIONS FOR WHICH ACTION MAY HAVE BEEN TAKEN OR IS IN THE PROCESS OF BEING TAKEN BY ANY REGULATORY AUTHORITY

1. All disclosures regarding penalties and action(s) taken against foreign Sponsor(s) may be limited to the jurisdiction of the country where the principal activities (in terms of income / revenue) of the Sponsor(s) are carried out or where the headquarters of the Sponsor(s) is situated. Further, only top 10 monetary penalties during the last three years shall be disclosed.

   Not applicable since there is no foreign Sponsor for the AMC.

2. In case of Indian Sponsor(s), details of all monetary penalties imposed and/ or action taken during the last three years or pending with any financial regulatory body or governmental authority, against Sponsor(s) and/ or the AMC and/ or the Board of Trustees /Trustee Company; for irregularities or for violations in the financial services sector, or for defaults with respect to share holders or debenture holders and depositors, or for economic offences, or for violation of securities law. Details of settlement, if any, arrived at with the aforesaid authorities during the last three years shall also be disclosed.

   Nil

3. Details of all enforcement actions taken by SEBI in the last three years and/ or pending with SEBI for the violation of SEBI Act, 1992 and Rules and Regulations framed there under including debarment and/ or suspension and/or cancellation and/ or imposition of monetary penalty/adjudication/enquiry proceedings, if any, to which the Sponsor(s) and/ or the AMC and/ or the Board of Trustees /Trustee Company and/ or any of the directors and/ or key personnel (especially the fund managers) of the AMC and Trustee Company were/ are a party. The details of the violation shall also be disclosed.

   SEBI vide its adjudication order no. Order/SM/AE/2022-23/17536-17542 dated June 30, 2022, had imposed penalty under section 15HB of SEBI Act, 1992, in the matter of certain close ended schemes of a different mutual fund entity. That concerned asset management company and all the individuals named in the order had filed an appeal before the Securities Appellate Tribunal (SAT) against this order. SAT vide its order March 06, 2026, dismissed the appeal. The concerned parties filed an appeal in the Supreme Court of India against the SAT order. The Supreme Court vide its judgement dated July 13, 2026, has dismissed the appeal. The Supreme Court has also not given any relief on the penalty imposed. The matter is regarding extension of maturity of close ended schemes and partial redemption at the time of maturity. One of the individuals named in the order is currently a Trustee Director in Bajaj Mutual Fund Trustee Limited (formerly Bajaj Finserv Mutual Fund Trustee Limited). The period of adjudication dates to this individual's former employment and the order does not relate to Bajaj Finserv Mutual Fund schemes.

4. Any pending material civil or criminal litigation incidental to the business of the Mutual Fund to which the Sponsor(s) and/ or the AMC and/ or the Board of Trustees /Trustee Company and/ or any of the directors and/ or key personnel are a party should also be disclosed separately.

   Nil

Document updated as on July 15, 2026

5. Any deficiency in the systems and operations of the Sponsor(s) and/ or the AMC and/ or the Board of Trustees/Trustee Company which SEBI has specifically advised to be disclosed in the SID, or which has been notified by any other regulatory agency, shall be disclosed.

   Nil