

NOMINATION FORM FOR FRESH / CHANGE OF EXISTING NOMINATION



Applicable for Individual Unitholders only - whether holding Units Singly or Jointly with other holders

Please read the instructions carefully before filling up this form

Folio Number

Sole / 1st Holder Details

Name PAN*

2nd Holder Details

Name PAN*

3rd Holder Details

Name PAN*

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)*

☐ I/We do hereby nominate the person(s) more particularly described here under to receive the Units held in my / our Folio in the event of my / our death. (Please fill the nominee details in the table given below)

OR

☐ I hereby confirm that I do not wish to appoint any nominee(s) to my demat account/ mutual fund folio at this point of time. I understand that – (i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise. (ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s). (iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework. I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

The signature of all the joint holders shall be obtained for providing / changing nomination regardless of mode of operations.

Name of the 1st Nominee*First NameMiddle NameLast Name

PAN of the Nominee\$Date of Birth of Nominee**DDMMYYYY

Nominee Relationship*Share of Nominee (%)\$

Name of the Guardian\$PAN of Nominee Guardian\$

Guardian's Relationship with Nominee\$MotherFatherLegal GuardianIdentity Number\$(Aadhaar (last four digits), PAN, Driving Licence, Passport)

Proof of relationship\$Birth CertificateSchool Leaving CertificatePassportOthers

Residential Address\$

CityStatePincode

Mobile No.\$Tel.Email ID\$

Name of the 2nd Nominee*First NameMiddle NameLast Name

PAN of the Nominee\$Date of Birth of Nominee**DDMMYYYY

Nominee Relationship*Share of Nominee (%)\$

Name of the Guardian\$PAN of Nominee Guardian\$

Guardian's Relationship with Nominee\$MotherFatherLegal GuardianIdentity Number\$(Aadhaar (last four digits), PAN, Driving Licence, Passport)

Proof of relationship\$Birth CertificateSchool Leaving CertificatePassportOthers

Residential Address\$

CityStatePincode

Mobile No.\$Tel.Email ID\$

Name of the 3rd Nominee*First NameMiddle NameLast Name

PAN of the Nominee\$Date of Birth of Nominee**DDMMYYYY

Nominee Relationship*Share of Nominee (%)\$

Name of the Guardian\$PAN of Nominee Guardian\$

Guardian's Relationship with Nominee\$MotherFatherLegal GuardianIdentity Number\$(Aadhaar (last four digits), PAN, Driving Licence, Passport)

Proof of relationship\$Birth CertificateSchool Leaving CertificatePassportOthers

Residential Address\$

CityStatePincode

Mobile No.\$Tel.Email ID\$

*Mandatory / \$Optional / **Mandatory & Applicable in case the Nominee is a Minor

1. I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC as follows: (please tick, as appropriate)
- ☐ Name of Nominee(s) with Details and Percentage
- ☐ Nomination without Details and Percentage (Default Option)
2. This nomination shall supersede any prior nomination made by me / us, if any.

Name(s) of holder(s)		Signature(s) of holder	Witness Name, Address and Signature*
Sole / First Holder (Mr./Ms.)			1.
Second Holder (Mr./Ms.)			2.
Third Holder (Mr./Ms.)			

*Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature

TERMS AND CONDITIONS FOR NOMINATION FORM FRESH / CHANGE OF EXISTING NOMINATION

*Joint Accounts:

Event	Transmission of Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

1. Nomination shall be mandatory for single holding only. The requirement of nomination shall be optional for jointly held folios.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. The signature of all the joint holders shall be obtained for providing / changing nomination regardless of mode of operations.
5. A minor may be nominated. In that event, the Date of Birth (DoB) needs to be provided. It is optional to provide the name and PAN of the Guardian.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. You can make nomination, cancel or change nominee any number of times without any restriction.
10. You are entitled to receive an acknowledgement from the AMC for each instance of providing or changing nomination.
11. Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single folio.
12. In case all your nominees do not claim the assets from the AMC, then the residual unclaimed asset shall continue to be with the AMC in case of MF units.
13. **Multiple Nominees:** Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If percentage is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division/fraction of %, shall be transferred to the first nominee mentioned in the Nomination form. (See table in 'Transmission aspects'-point number 22)
14. Every new nomination for a folio shall overwrite the existing nomination, if any.
15. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio.
16. Nomination shall stand rescinded upon the transfer of units.
17. **Death of Nominee/s:** In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
18. **Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).**
19. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
20. In respect of folios where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
21. The mode of operation (of the joint account), namely that of the first named holder OR anyone or survivor OR either or survivor basis OR joint, etc. shall be unaffected by the rule of survivorship.
22. Transmission Aspects :
 - AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s).
 - In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
 - Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
 - In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below:

% share as specified by investor at the time of nomination			% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'		
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%